

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|---|--------------------|
| International U.S. Patent Application of: | Examiner: |
| Serial No.: 09/990,247 | Art Unit No.: 2811 |
| Filing Date: November 21, 2001 | Confirm. No.: |
| For: Single and Multiple Layer Packaging of High-Speed/High-Density ICs | |
| Inventor(s): Binneg Y. Lao et al. | |

Certificate of Mailing

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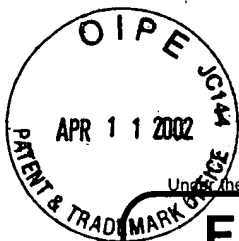
On 3/19/02

Name: Soyeon (Karen) Laub

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| <input type="checkbox"/> <i>duplicate</i> Amendment/Response | <input checked="" type="checkbox"/> Declaration and Power of Attorney |
| <input type="checkbox"/> Appeal Brief (in <i>triplicate</i>) | <input type="checkbox"/> Drawings |
| <input type="checkbox"/> Application – Utility | <input type="checkbox"/> Express Mail Label No. _____ |
| <input type="checkbox"/> Application – Rule 1.53(b) Continuation | <input checked="" type="checkbox"/> Fee Transmittal, in <i>duplicate</i> |
| <input type="checkbox"/> Application – Rule 1.53(b) Divisional | <input type="checkbox"/> <i>duplicate</i> IDS & PTO-1449 & citation copies |
| <input type="checkbox"/> Application – Rule 1.53(b) CIP | <input type="checkbox"/> Issue Fee Transmittal, in <i>duplicate</i> |
| <input type="checkbox"/> Application – Rule 1.53(d) CPA Transmittal | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Application – Design | <input type="checkbox"/> Petition for Extension of Time |
| <input type="checkbox"/> Application – PCT | <input type="checkbox"/> Preliminary Amendment |
| <input type="checkbox"/> Application – Provisional | <input type="checkbox"/> Reply Brief |
| <input checked="" type="checkbox"/> Assignment, <i>duplicate</i> Cover Sheet & original Cover Sheet | <input type="checkbox"/> Response to Notice of Missing Parts |
| <input checked="" type="checkbox"/> Return Receipt Postcard | <input type="checkbox"/> Appl Transmittal |
| <input checked="" type="checkbox"/> Check in the amount of \$ <u>170</u> | <input type="checkbox"/> Nonpublication Request |
| <input checked="" type="checkbox"/> Other: <u>Request to Correct Inventorship; Statement of Lack of Deceptive Intention; Written Consent of Assignee</u> | |



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PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 170

Complete if Known

Application Number 09/990,247

Filing Date November 21, 2001

First Named Inventor Binney Y. Lao

Examiner Name

Group Art Unit 2811

Attorney Docket No. SMI-P004

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number
Deposit Account Name

501906

Sierra Monolithics, Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 740 | 201 370 | Utility filing fee | |
| 106 330 | 206 165 | Design filing fee | |
| 107 510 | 207 255 | Plant filing fee | |
| 108 740 | 208 370 | Reissue filing fee | |
| 114 160 | 214 80 | Provisional filing fee | |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** = | X | |
| Multiple Dependent | -3** = | X | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|--|
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 84 | 202 42 | Independent claims in excess of 3 |
| 104 280 | 204 140 | Multiple dependent claim, if not paid |
| 109 84 | 209 42 | ** Reissue independent claims over original patent |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|---|----------------------------|--|----------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for reply within first month | |
| 116 400 | 216 200 | Extension for reply within second month | |
| 117 920 | 217 460 | Extension for reply within third month | |
| 118 1,440 | 218 720 | Extension for reply within fourth month | |
| 128 1,960 | 228 980 | Extension for reply within fifth month | |
| 119 320 | 219 160 | Notice of Appeal | |
| 120 320 | 220 160 | Filing a brief in support of an appeal | |
| 121 280 | 221 140 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidable | |
| 141 1,280 | 241 640 | Petition to revive - unintentional | |
| 142 1,280 | 242 640 | Utility issue fee (or reissue) | |
| 143 460 | 243 230 | Design issue fee | |
| 144 620 | 244 310 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 180 | 126 180 | Submission of Information Disclosure Statement | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146 740 | 246 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 740 | 249 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 740 | 279 370 | Request for Continued Examination (RCE) | |
| 169 900 | 169 900 | Request for expedited examination of a design application | |
| Other fee (specify) 37 CFR 1.17(l): \$130 | | | 130 |

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 170

SUBMITTED BY

| | | | | | |
|-------------------|------------------------|-----------------------------------|---------|-----------|----------------|
| Name (Print/Type) | Soyeon (Karen) P. Laub | Registration No. (Attorney/Agent) | 39266 | Telephone | (949) 552-6910 |
| Signature | | Date | 3/19/02 | | |

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